



## Representation Regarding Independent Registered Municipal Advisors

Section 975 of the Dodd-Frank Wall Street Reform and Consumer Protection Act amended Section 15B of the Securities Exchange Act of 1934 to require “municipal advisors” to register with the SEC. The SEC’s Rules 15Ba1-1 to 15Ba1-8, 17 C.F.R. 240.15Ba1-1 to 240.15Ba1-8, impose a registration regime and fiduciary duty upon persons that give advice to municipal entities and obligated persons with respect to municipal financial products and the issuance of municipal securities, including investment strategies with respect thereto, unless exclusions or exemptions apply.

SEC Rule 15Ba1-1, in paragraph (d)(3)(vi), grants such an exemption to financial services firms and other persons providing advice to a municipal entity or obligated person with respect to municipal financial products or the issuance of municipal securities if the municipal entity or obligated person is receiving advice from an independent registered municipal advisor regarding the same aspects of a municipal financial product or an issuance of municipal securities and the other requirements in paragraph (d)(3)(vi) are satisfied, including the firms’ or other persons’ receipt of a representation from the municipal entity or obligated person that, in connection with these matters, it is represented by and will rely upon the advice of an independent registered municipal advisor. The following representation by the Washington Convention Center Authority, (doing business as Events DC) is meant to be the representation addressed in paragraph (d)(3)(vi)(B) of Rule 15Ba1-1. Events DC acknowledges that it is aware of the “Municipal Advisor Rule” and the “independent municipal advisor” exemption from the definition of “advice”. Events DC hereby notifies investment banking firms that it wishes for them to continue providing recommendations on the issuance of municipal securities and municipal financial products that are particularized to its needs.

Events DC represents to financial services firms, broker dealers and other persons that it is represented by its independent registered municipal advisor, Frasca & Associates, LLC and will rely on their advisors to provide advice on proposals from financial services firms, broker dealers and other persons concerning the issuance of municipal securities and municipal financial products, including investment strategies with respect thereto. Frasca is registered as a municipal advisor with the Securities & Exchange Commission and the Municipal Securities Rulemaking Board. The individuals with Frasca on Events DC’s account team are Michael Wheat, Juan Pittman and Marvin Sun (who have each represented that they have not been associated with an investment banking firm within the two (2) year period prior to the date of this certificate). This notice is in effect until September 30, 2022, unless rescinded or revised by Events DC.

The Municipal Advisors can be contacted at the following email addresses and phone numbers:

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Inquiries regarding this notice should be directed to Nuru Yimam, Cash and Investment Manager for Events DC at [nyimam@eventsdc.com](mailto:nyimam@eventsdc.com) (202 249.3207). Presentations should be provided concurrently to staff of Events DC and the Municipal Advisors in electronic form.